

**Applies to:**

**Aetna plans**

**Innovation Health® plans**

**Health benefits and health insurance plans offered and/or underwritten  
by the following:**

**Allina Health and Aetna Health Insurance Company (Allina Health | Aetna)**

**Banner Health and Aetna Health Insurance Company and/or Banner Health and  
Aetna Health Plan Inc. (Banner | Aetna)**

**Sutter Health and Aetna Administrative Services LLC (Sutter Health | Aetna)**

**Texas Health + Aetna Health Plan Inc. and Texas Health + Aetna Health Insurance  
Company (Texas Health Aetna)**



# BRCA Precertification Information Request Form

## About this form

**All BRCA tests require precertification.** To initiate a request, you have to submit your request electronically. Or you can call our Precertification Department. **Failure to complete this form and submit all medical records we are requesting may result in the delay of review or denial of coverage.**

Effective **May 1, 2020**, this form replaces all other BRCA precertification information request documents and forms.

## How to fill out this form

As the patient's attending physician, you must complete **all** sections of this form. You can use this form with all Aetna health plans, including Aetna's Medicare Advantage plans. You can also use this form with health plans for which Aetna provides certain management services.

## When you're done

Once you've filled out this form, submit it **and** all requested medical documentation to our Precertification Department by:

- **(Preferred)** Upload your information electronically on our secure provider portal at [www.Availity.com](http://www.Availity.com).
- Send your information via confidential **fax** to: **860-975-9126**
- Mail your information to: **PO Box 14079  
Lexington, KY 40512-4079**

Or you can submit the completed form and the specimen sample to one of our network BRCA testing laboratories listed below. Then they'll submit the form to us.

<b>Quest Diagnostics, Inc.</b>	Fax the precertification form to <b>1-855-422-5181</b> . Call BRCAvantage Concierge Services at <b>1-866-436-3463</b> or visit <a href="http://www.questvantage.com">www.questvantage.com</a> for more information
<b>LabCorp</b>	Fax the precertification form to <b>1-855-711-5699</b> . For questions, call <b>1-855-488-8750</b> or send email to <a href="mailto:BRCApriorauth@labcorp.com">BRCApriorauth@labcorp.com</a>
Ambry Genetics	Fax the precertification form to <b>1-949-900-5501</b> . Order collection and transportation kits from by calling <b>1-866-262-7943</b> or online at <a href="http://www.ambrygen.com">www.ambrygen.com</a>
Baylor Miraca Genetics Laboratories, LLC	Fax the precertification form to <b>1-713 798-4197</b> . Order collection and transportation kits by calling <b>1-800-411-GENE</b> or email <a href="mailto:help@baylorgenetics.com">help@baylorgenetics.com</a> .
Counsyl	For more information, call <b>1-888-COUNSYL (1-888-268-6795)</b> , send an email to <a href="mailto:support@counsyl.com">support@counsyl.com</a> or visit <a href="http://www.counsyl.com">www.counsyl.com</a>
GeneDx, Genpath, BioReference	Fax the precertification form to <b>1-201-421-2010</b> . If you have any questions call <b>1-888-729-1206</b> or visit <a href="http://www.genedx.com">www.genedx.com</a>
Invitae	Fax the precertification form to <b>1-415-276-4164</b> . If you have any questions, call <b>1-800-436-3037</b> or email <a href="mailto:clientservices@invitae.com">clientservices@invitae.com</a> or visit <a href="http://www.invitae.com/en/request-a-kit/">www.invitae.com/en/request-a-kit/</a>
Medical Diagnostic Lab, LLC	Fax the precertification form to <b>1-609-570-1062</b> . If you have questions, call <b>1-877-269-0090</b> or visit <a href="http://www.mdlab.com">www.mdlab.com</a>
Myriad Genetics Laboratories, Inc.	Fax the precertification form to <b>1-801-584-3615</b> . If you have questions, call <b>1-800-469-7423</b>
Progenity	Progenity - Submit the completed BRCA precertification form with a Riscover patient specimen. For questions or to receive specimen kits, call 855-293-2639.

# BRCA Precertification Information Request Form

## What happens next?

Once we receive the requested documentation, we'll perform a clinical review. Then we'll make a coverage determination and let you know our decision.

## How we make coverage determinations

For our Medicare Advantage members, we use CMS benefit policies, including national coverage determinations (NCD) and local coverage determinations (LCD) when available, to make our coverage determinations. If there isn't an available NCD or LCD to review, then we'll use the Clinical Policy Bulletin referenced below to make the determination.

For all other members, we encourage you to review **Clinical Policy Bulletin #227: BRCA Testing, Prophylactic Mastectomy, and Prophylactic Oophorectomy** before you complete this form.

You can find the Clinical Policy Bulletins and Precertification Lists by visiting the website on the back of the member's ID card.

<b>Failure to complete this form in its entirety may result in the delay of review.</b>	
Fax to: BRCA Precertification Department	Fax number: 1-860-975-9126
<b>Section 1: To be completed by ordering physician</b> If submitting request electronically, complete member name and ID only	
Member name:	Member ID:
Member address:	
Member phone #:	Member date of birth:     /     /
Biological Gender: <input type="checkbox"/> M <input type="checkbox"/> F	Reference Number:
Physician name:	Physician NPI number:
Physician phone number:	Physician status: <input type="checkbox"/> Participating <input type="checkbox"/> Non-participating
Physician address:	
IPA name:	IPA NPI Number:
IPA address:	
IPA phone #: 1-     -     -	

**BRCA**  
**Precertification Information Request Form**

<b>Member Name:</b>
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<b>Member ID:</b>	<b>Reference Number:</b>
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<b>Section 2: Provide the following general information</b> <b>Do not complete section 2 if submitting electronically</b>
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<b>Laboratory name:</b>	<b>Laboratory phone number:</b>
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<b>Laboratory status:</b> <input type="checkbox"/> Participating <input type="checkbox"/> Non-participating	<b>Date of specimen collection:</b> /     /
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<b>ICD-10 code(s):</b>
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<b>Section 3: Test menu</b>
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<input type="checkbox"/> BRCA1/2 full gene sequence analysis (CPT 81163) <input type="checkbox"/> BRCA1/2 three mutation (187delAG, 5385insC, 6174delT) gene analysis, Ashkenazi Jewish Ancestry (CPT 81212) <input type="checkbox"/> BRCA1/2 three mutation (187delAG, 5385insC, 6174delT) gene analysis, Ashkenazi Jewish Ancestry WITH reflex to full gene sequencing (CPT 81212 or 81163) <input type="checkbox"/> BRCA 1/2 full large rearrangement (BART) analysis <sup>1</sup> ( <b>Medicare members only</b> ) (CPT 81164) <input type="checkbox"/> BRCA 1/2 full gene sequence analysis WITH full large rearrangement (BART) <sup>1</sup> analysis for hereditary cancer risk ( <b>Medicare members only</b> ) (CPT 81162) <input type="checkbox"/> BRCA 1 or BRCA 2 known deleterious familial variant (BRCA 1: CPT 81215, BRCA 2; CPT 81217) Specify gene: _____ relationship: _____ mutation: _____ <input type="checkbox"/> BRCA1/2 testing to determine PARP-inhibitor treatment (CPT 81162 or 81163); Specify somatic or germline: _____ test name: _____ PARP-inhibitor considered: _____ Total number and names of previously failed therapies: _____
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<b>Section 4: Personal cancer history<sup>2</sup></b>
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<input type="checkbox"/> No personal history of breast <sup>3</sup> /ovarian <sup>4</sup> /pancreatic cancer <input type="checkbox"/> Personal history of breast cancer <sup>3</sup> - currently under treatment <input type="checkbox"/> Personal history of breast cancer <sup>3</sup> - treatment completed <input type="checkbox"/> Unilateral <input type="checkbox"/> Bilateral <input type="checkbox"/> Triple Negative Age at diagnosis: _____ Date of diagnosis: _____ / _____ / _____ Stage: <input type="checkbox"/> Invasive ductal carcinoma (IDC) <input type="checkbox"/> Invasive lobular carcinoma (ILC) <input type="checkbox"/> Ductal carcinoma in situ (DCIS) <input type="checkbox"/> Personal history of ovarian cancer <sup>4</sup> - currently under treatment <input type="checkbox"/> Personal history of ovarian cancer <sup>4</sup> - treatment completed <input type="checkbox"/> Personal history of pancreatic cancer <input type="checkbox"/> Other clinical history, please specify: _____
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<sup>1</sup> Aetna does not cover large rearrangement testing (BART) unless the member is covered by Medicare and meets criteria for comprehensive testing. There is inadequate information regarding the frequency of large genomic re-arrangements in the United States populations to indicate that testing or re-testing for these specific mutations (e.g., the BART) is useful or effective in managing the care of members, including those with a strong family history of breast, ovarian or pancreatic cancer.  
In addition, Aetna does not cover multigene hereditary breast cancer panels that accompany BRCA testing because there is insufficient published evidence of their clinical validity and utility. Information regarding this can be found in our Clinical Policy Bulletin (CPB): BRCA Testing, Prophylactic Mastectomy, and Prophylactic Oophorectomy available at [www.aetna.com](http://www.aetna.com).

<sup>2</sup> Members who seek coverage for BRCA1/2 testing for the benefit of OTHER family members must seek reimbursement of payment from the OTHER family member's insurance carrier. BRCA analysis for the medical management of OTHER family members is not a covered benefit for Aetna members.

<sup>3</sup> The term breast cancer" includes both invasive and ductal carcinoma in situ (DCIS) breast cancers. Lobular carcinoma in situ (LCIS) is not included.

<sup>4</sup> For purposes of these guidelines, ovarian cancer includes fallopian tube and primary peritoneal carcinoma.

**BRCA**  
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**Member Name:** \_\_\_\_\_

**Member ID:** \_\_\_\_\_

**Reference Number:** \_\_\_\_\_

**Section 5: Personal testing history**

- No previous BRCA genetic testing
  - Negative Ashkenazi Jewish panel testing
  - Negative BRCA 1/2 gene sequencing testing
  - Negative BRCA 1/2 gene sequencing and large rearrangement testing
  - Other, please specify: \_\_\_\_\_
- Previous testing lab: \_\_\_\_\_
- Date of testing: \_\_\_\_\_
- Results: \_\_\_\_\_

**Section 6: Family cancer history and ethnicity**

- No known family history of breast<sup>3</sup>, ovarian<sup>4</sup> or pancreatic cancer
- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Ashkenazi Jewish Ancestry<br><input type="checkbox"/> Caribbean<br><input type="checkbox"/> Hispanic<br><input type="checkbox"/> Northern European<br><input type="checkbox"/> Other _____ | <input type="checkbox"/> African American<br><input type="checkbox"/> Central/South American<br><input type="checkbox"/> Middle Eastern<br><input type="checkbox"/> Pacific Islander | <input type="checkbox"/> Asian<br><input type="checkbox"/> Eastern European<br><input type="checkbox"/> Native American<br><input type="checkbox"/> Western European |
|---|--|--|

Relationship to patient	Maternal (M) or paternal (P) side	Type of cancer	Age at diagnosis

## Precertification Information Request Form

Member Name:

Member ID:

Reference Number:

## Section 7: Risk criteria category for FEMALES

- Personal history of ovarian cancer**<sup>1</sup> Date of ovarian cancer diagnosis: Month \_\_\_\_\_ Year \_\_\_\_\_
- Personal history of breast cancer**<sup>2</sup> Date of breast cancer diagnosis: Month \_\_\_\_\_ Year \_\_\_\_\_
1. Breast cancer<sup>2</sup> diagnosed at age 50 years or younger; or
2. Breast cancer<sup>2</sup> is diagnosed at age 60 years or younger and is triple negative<sup>3</sup>
3. Breast cancer<sup>2</sup> is diagnosed at any age, with any of the following:
- a. At least one close blood relative<sup>3</sup> with epithelial ovarian cancer; or
- b. At least two close blood relatives<sup>3</sup> on the same side of the family with breast cancer<sup>2</sup>; or
- c. Member has two breast primaries<sup>5</sup> and has at least one close blood relative<sup>3</sup> with breast cancer diagnosed at age 50 or younger; or
- d. Close blood relative<sup>3</sup> with either breast cancer<sup>2</sup> at age 50 or younger or with epithelial ovarian cancer<sup>1</sup> (**Medicare only**); or
- e. At least two close blood relatives<sup>3</sup> with pancreatic cancer or prostate cancer with Gleason score > 7 at any age (**Medicare only**); or
- f. Close male blood relative<sup>3</sup> with breast cancer<sup>2</sup>; or
- g. First, second or third-degree blood relative<sup>3</sup> with a known BRCA1 or BRCA2 mutation<sup>4</sup>; or
- h. Two close relatives<sup>3</sup> on the same side of the family with pancreatic adenocarcinoma at any age; or
- i. Ethnicity is associated with higher mutation frequency (Ashkenazi Jewish)<sup>5</sup>.
- NO personal history of breast<sup>2</sup>, ovarian cancer<sup>1</sup> or pancreatic adenocarcinoma (coverage excluded by Medicare)<sup>6</sup>**
1. Women with at least one first-degree blood relative<sup>3</sup> with:
- a. epithelial ovarian cancer<sup>1</sup> or
- b. breast cancer diagnosed at age 45 years or younger, or
- c. bilateral breast cancer<sup>5</sup>
2. Women with three or more close blood relatives<sup>3</sup> on the same side of the family with breast cancer; or
3. Women with at least one close blood relative<sup>3</sup> with:
- a. male breast cancer; or
- b. both breast<sup>2</sup> and epithelial ovarian cancer<sup>1</sup>.
4. Women with two close blood relatives<sup>3</sup> on the same side of the family with:
- a. epithelial ovarian cancer<sup>1</sup>; or
- b. breast cancer<sup>2</sup>, one of whom was diagnosed at age 50 years or younger; or
- c. breast cancer<sup>2</sup> in one relative and epithelial ovarian cancer<sup>1</sup> in another relative
5. Women of Ashkenazi Jewish descent with a first degree relative or two or more second degree relatives on the same side of the family with breast or epithelial ovarian cancer<sup>8</sup>; or
6. Women with first, second- or third-degree blood relatives with a known BRCA1 or BRCA2 mutation<sup>10</sup>

**Women who do not meet any of the above criteria but are determined through both independent formal genetic counseling and validated quantitative risk assessment tool<sup>7</sup> to have at least a 5% or greater pre-test probability of carrying a BRCA1 or BRCA2 mutation. Note: In this category only, a 3-generation pedigree and quantitative risk assessment results must be faxed directly to us at 1-860-975-9126. Pedigree template available on request.**

Formal genetic counseling  Yes  No

Genetic counselor name and location (state): \_\_\_\_\_

**BRCA**  
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<b>Member Name:</b>			
<b>Member ID:</b>		<b>Reference Number:</b>	
<b>Section 8: Risk criteria category for both FEMALES and MALES</b>			
<input type="checkbox"/> Individuals with a <b>Personal history of pancreatic adenocarcinoma at any age</b>			
<b>Section 9: Medical management (if patient tests positive)</b>			
<input type="checkbox"/> Prophylactic oophorectomy	<input type="checkbox"/> Bilateral	<input type="checkbox"/> Tamoxifen chemoprevention	<input type="checkbox"/> Other, please specify:
<input type="checkbox"/> Prophylactic mastectomy	<input type="checkbox"/> Bilateral	<input type="checkbox"/> Increased breast surveillance	
<b>Section 10: Patient education</b>			
Consistent with the 1997 National Institutes of Health Consensus Statement on guidelines for care of patients with BRCA1 and BRCA2 mutations and American College of Medical Genetics guidelines, prior to testing and follow-up treatment, the patient must give informed consent in accordance with applicable law. Also consistent with such guidelines, such informed consent discussions should include at least the following:			
1. Clarification of the patient's increased risk status		5. Limited data regarding efficacy of methods for early detection and prevention	
2. Explanation of how genetics affects cancer susceptibility		6. Possible psychological and social impact of testing	
3. Potential benefits, risk, and limitations of testing		7. Counseling regarding therapeutic options, including limitations	
4. Possible outcomes of testing (e.g., positive, negative or uncertain test results)			
<b>Section 11: Read this important information</b>			
Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.			
<b>Section 12: Sign the form</b>			
By signing this form, I certify that the member listed above has given informed consent in accordance with the guidelines and risks above and that the BRCA analysis will be used to direct the medical management of this member.			
<b>Form completed by (please print):</b>		<b>Title:</b>	
<b>Physician Signature (required):</b>			
<b>Contact Person:</b>		<b>Phone Number:</b>	

<sup>1</sup> For the purposes of these guidelines, fallopian tube and primary peritoneal carcinoma are included.

<sup>2</sup> The term "breast cancer" includes invasive and ductal carcinoma in situ (DCIS) breast cancers. Lobular carcinoma in situ (LCIS) is not included.

<sup>3</sup> Triple negative breast cancer is when the individual's breast cancer cells test negative for estrogen receptors (ER-), progesterone receptors (PR-) and human epidermal growth factor receptors (HER2-).

<sup>4</sup> Validated quantitative risk assessment tools include BRCAPro, Yale, UPenn I or UPenn II, BOADICEA and Tyrer-Cuzick IBIS (See CPB).

<sup>5</sup> For Ashkenazi Jewish women who meet screening criteria, a screening panel for the founder mutations common in the Ashkenazi Jewish population (multisite testing) is considered medically necessary. If founder mutation testing is negative, full gene sequencing (reflex testing) is considered medically necessary only if member meets the criteria described above.

Medicare does not cover BRCA genetic testing in the absence of a personal history of breast or ovarian cancer, since it considered to be screening and is specifically excluded by Medicare.